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Global Security Engagement: a Tool for UNSCR 1540 Implementation

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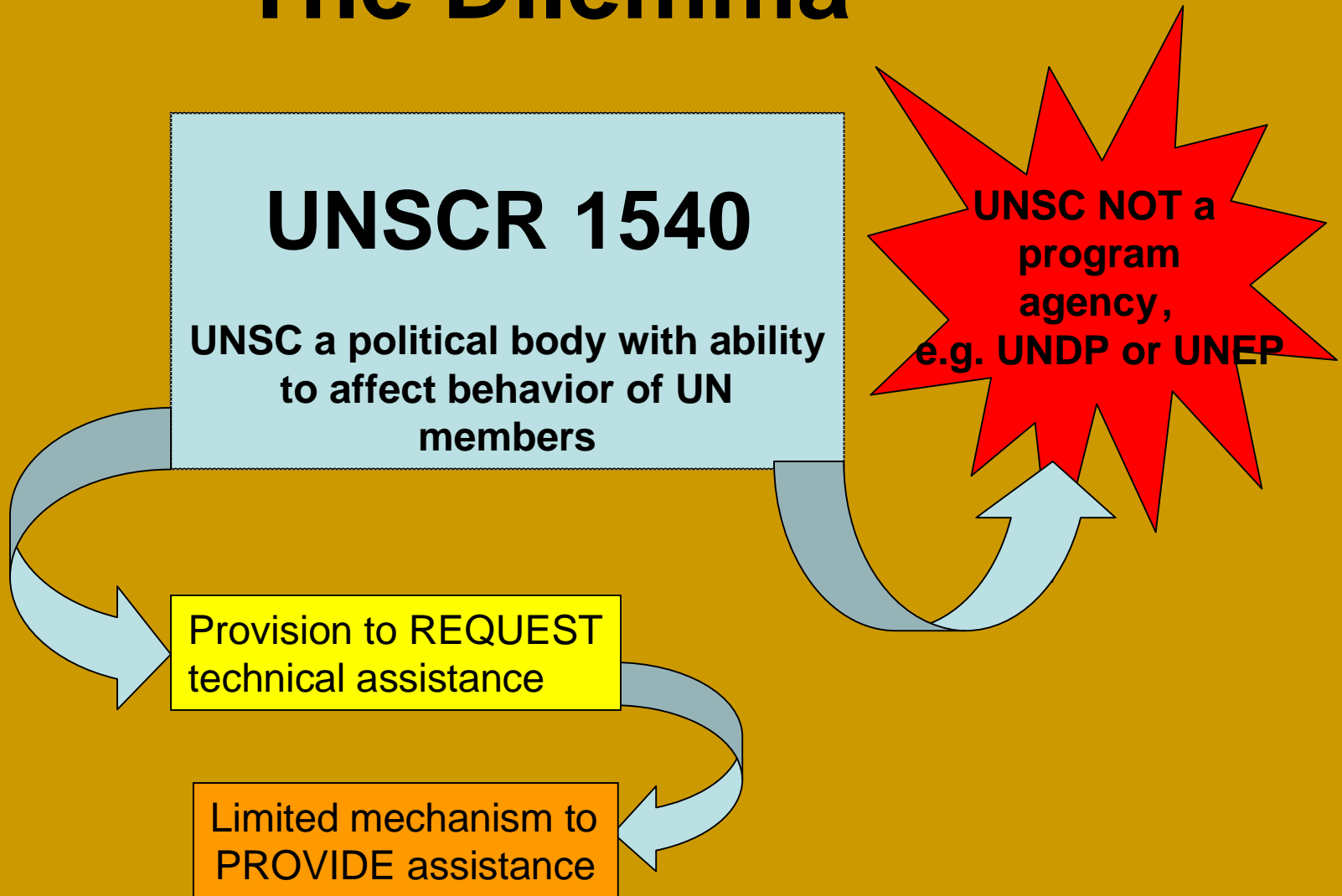
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The Dilemma



1540 Objective

- Prevent **any attempt** to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery, especially by non-State actors.
- 1540 obligations are binding and require that States “**adopt and enforce appropriate effective laws**”
- Also require that States “take and enforce **effective measures to establish domestic controls** to prevent the proliferation of nuclear, chemical or biological weapons and their means of delivery.”

Some key words:

- Drafting and implementation of legislation **relevant to** prohibiting State support to non-State actors that attempt to acquire WMD/means of delivery.
- Drafting and implementation of legislation to prohibit non-State actors from conducting, attempting to conduct, participating as an accomplice, assisting or financing **any activity relating to WMD/means of delivery**.
- Development and implementation of measures to account for and secure related materials to prevent the proliferation of WMD/means of delivery (which could include equipment or training relating to the development of measures).
- Development and implementation of physical protection measures to prevent the proliferation of WMD/means of delivery (which could include equipment or training relating to the development of measures).
- Development and implementation of measures, equipment or training to improve border controls and law enforcement (which could include equipment or training relating to the development of measures).
- Establishing and maintaining effective national export and trans-shipment controls over WMD/means of **delivery/related materials, as well as controls on providing funds and services** relating to such export and trans-shipment such as financing, including the drafting or improvement of relevant legislation.
- Drafting, updating or implementing lists of export controlled items.
- Development of appropriate ways to **work with and inform industry and the public** regarding their obligations.
- Other requests, including for demonstrations of equipment, technical briefings, informal consultations, etc.

How the bad guys work

- Drug mules – carriers will risk their lives
 - *Maria Full of Grace*



- Self-propelled semi-submersible vessels
- Tunnels – used for drugs and small arms
- Bribery – world corruption rankings

The rapid globalization of communications, transportation, and knowledge allow threats to be networked, agile, adaptable, and difficult to quantify. New tools and programs are needed to respond to these threats.

Based on the Corruption Perceptions Index, we might want to encourage assistance to:

- Tajikistan
- Angola
- Azerbaijan
- Burundi
- Republic of the Congo
- The Gambia
- Guinea-Bissau
- Sierra Leone
- Venezuela
- Democratic Republic of the Congo
- Kyrgyzstan
- Turkmenistan
- Uzbekistan
- Zimbabwe
- Cambodia
- Equatorial Guinea
- Chad
- Guinea
- Sudan
- Afghanistan
- Haiti
- Iraq
- Myanmar
- Somalia

Corruption + top 20 Failed States = Opportunity

- Tajikistan
- Angola
- Azerbaijan
- Burundi
- Republic of the Congo
- The Gambia
- Guinea-Bissau
- Sierra Leone
- Venezuela
- Democratic Republic of the Congo
- Kyrgyzstan
- Turkmenistan
- Uzbekistan
- Zimbabwe
- Cambodia
- Equatorial Guinea
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The Partnership Continuum

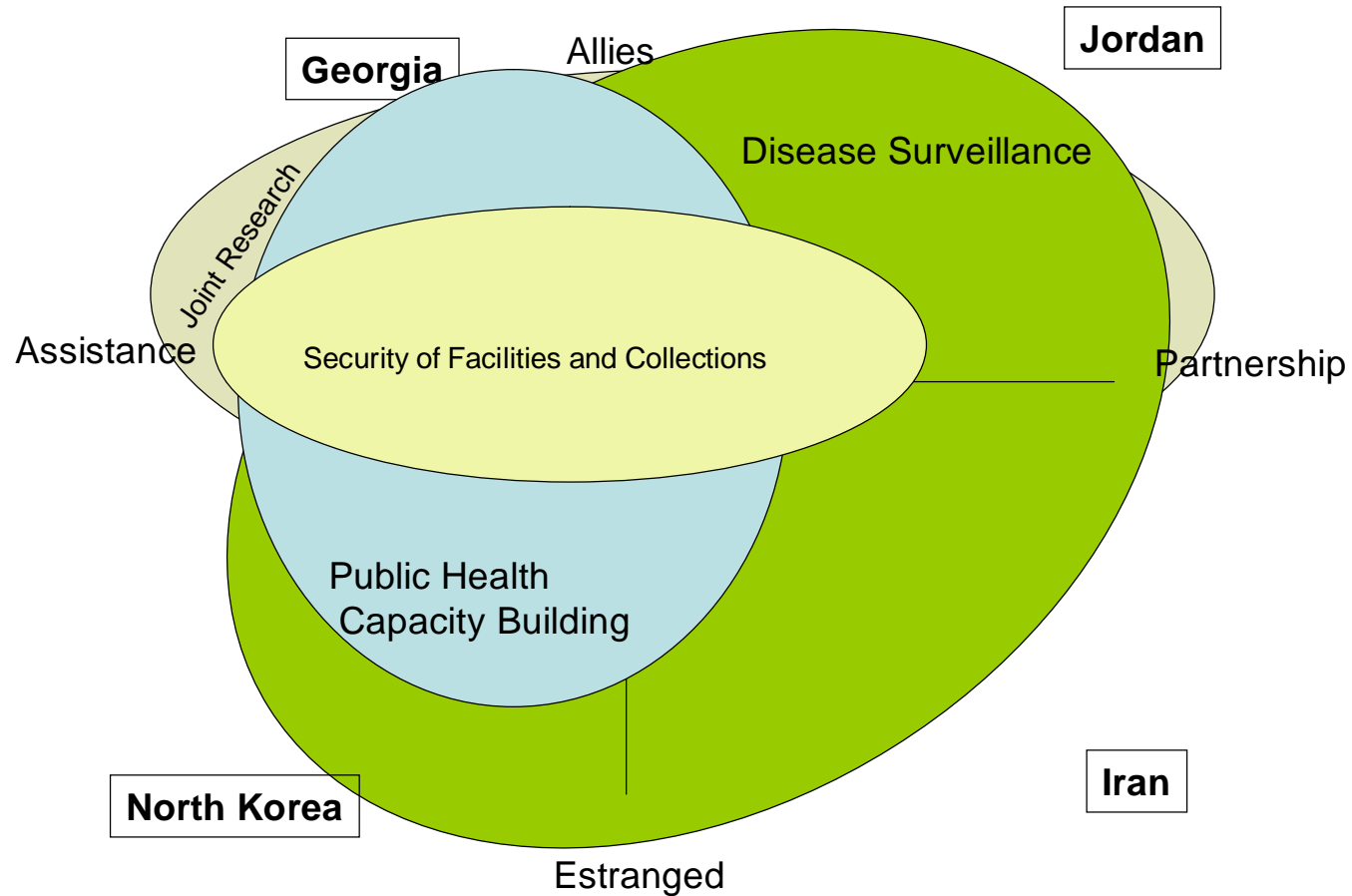


Fig. 3.1 A simple analysis as depicted in this figure can help policy makers visualize the range of program options available.

What Has Been Requested?

Table 3-1: Requested UNSCR 1540 Assistance by Category and Country

	Legislation and Regulatory Assistance	Import/Export Licensing and controls	Border or Customs assistance	Detection training or detection hardware	Police, border, customs, military training	Generally Interested
Bahamas	X		X	X	X	
Belize						X
Bolivia						X
Guatemala				X	X	
Jamaica						X

Can We Afford a Narrow View?

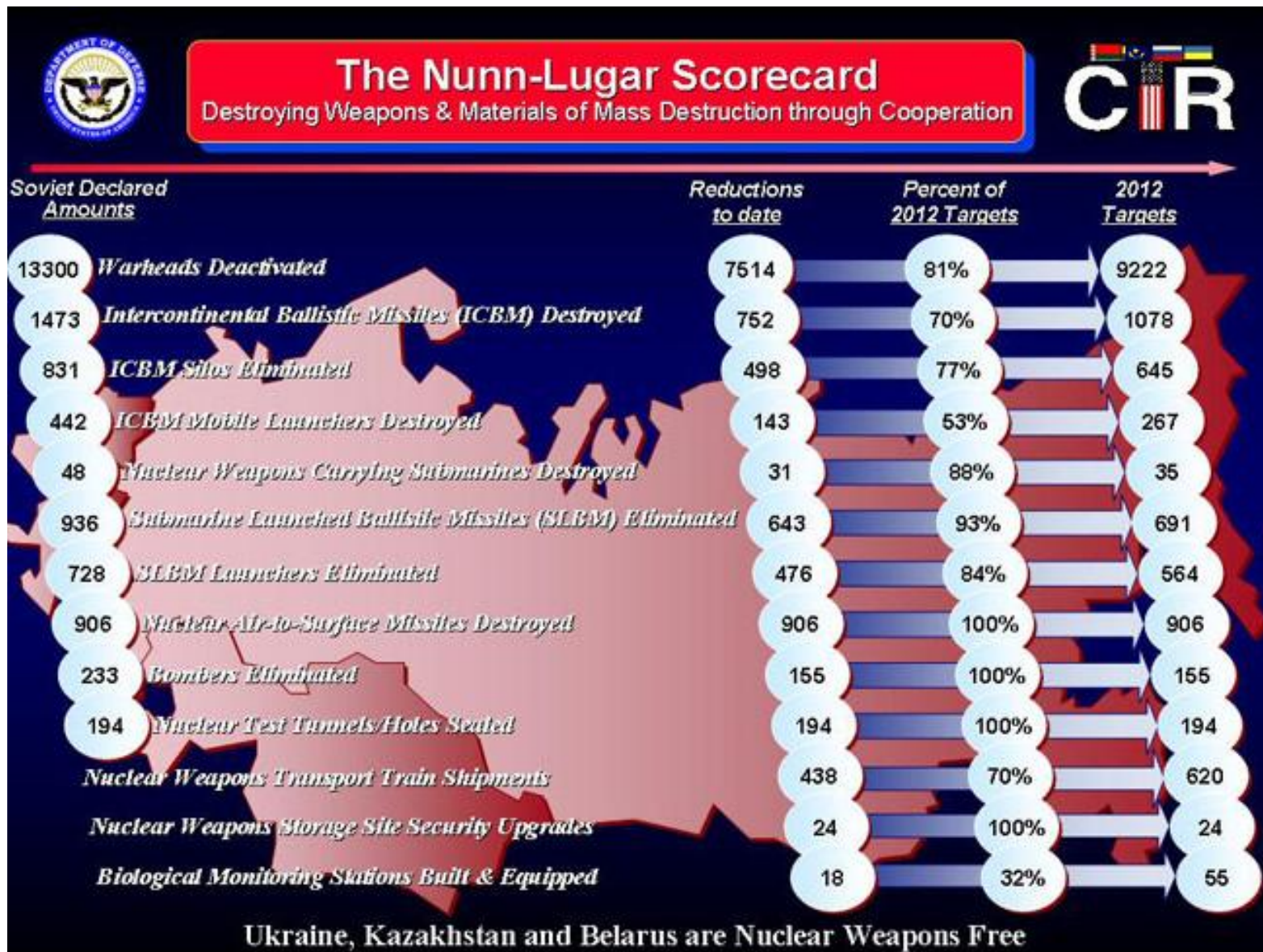
- 1540 drafters made specific requirements, but left room for broad approaches
 - “relevant” and “any activity relating to” are not narrow and prescriptive
 - AFRICOM example: view of the AFRICOM deputies was that few African countries would be interested in legislation or activities that were solely WMD-related. However, by focusing on closing down smuggling routes,

Just because a country may be hesitant to engage in the first instance with a USG program is not necessarily a signal that it will always oppose such engagement; it may just need to be engaged initially in a more creative and limited way.

Evaluating 1540 = Outputs + Outcomes

- **Example: “adopt and enforce appropriate effective laws”**
 - Adopting laws is not an measure of impact; it is a measure of program output
 - Impact has to be measured over time in ways that reflect whether the laws are enforced and are effective in achieving the 1540 objectives
 - Sustainability will depend on how well laws and related program activities fit the local environment and area appropriate, both in terms of levels of technology as well as local conditions

We like measuring programs like this:



But what we need is:

- New program evaluation tools that can measure both:
 - shorter term program outputs, e.g. numbers of detectors installed, number of training programs, workshops, etc
 - Needed for annual authorization/appropriation cycle and oversight
 - Longer term impact, e.g. development of security culture, development and strength of national and regional networks, relationship and capacity building
 - These are what will allow the programs to meet objectives
- Massive education effort required to adopt this approach to program evaluation

Questions/Discussion